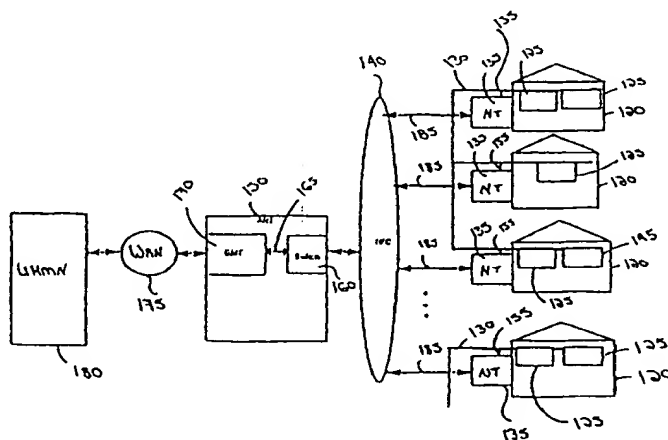




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<b>(21) International Application Number:</b> PCT/US98/07504 <b>(22) International Filing Date:</b> 14 April 1998 (14.04.98) <b>(30) Priority Data:</b> 08/843,461      16 April 1997 (16.04.97)      US <b>(71) Applicant:</b> ERICSSON, INC. [US/US]; Patent Dept., 1010 East Arapaho, Richardson, TX 75081 (US). <b>(72) Inventors:</b> AHMED, Zaheer; 1508 New Bedford Court, San Jose, CA 95131 (US). FONG, Sin-Min; 741 Ensign Way, Palo Alto, CA 94303 (US). KUMAR, Rajesh; 33750 Rye Terrace, Fremont, CA 94555 (US). MOSTAFA, Mohamed; 1011 Henderson Avenue, Menlo Park, CA 94025 (US). <b>(74) Agents:</b> BURSE, David, T. et al.; Lyon & Lyon LLP, Suite 4700, 633 West Fifth Street, Los Angeles, CA 90071-2066 (US).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>

(54) Title: CEBUS DATA LINK LAYER PROXY



## (57) Abstract

A communication protocol proxy enables a CEBus network to be mapped across a non-CEBus network, thereby allowing a remotely located node (e.g., a controller) to communicate via a non-CEBus network with electronic devices connected to the CEBus network, while still conforming with the EIA/IS-60 protocol requirements. In a preferred embodiment, a proxy node is provided on a CEBus network, wherein the proxy node is also connected to a non-CEBus network, (e.g., a wide area network ("WAN")), such that a remotely located controller on the non-CEBus network may communicate with a device on the CEBus network by transmitting messages to the proxy node over the non-CEBus network. The proxy node then forwards the message to the respective CEBus device over the CEBus network. If the message requires a CEBus Ack message response, the CEBus device transmits a CEBus Ack message to the proxy node, so that it is received within the time interval specified by the CEBus standard. On receiving the CEBus Ack message, the proxy node transmits a non-CEBus standard acknowledge message to the controller, which can be received over an extended time interval. If the message does not require a CEBus Ack message response, the proxy node still transmits a non-CEBus standard acknowledge message to the controller in order to verify receipt of the message.

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DESCRIPTIONCebus Data Link Layer Proxy

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Field of the Invention

The present invention pertains to the field of data communications and, more particularly, to methods and apparatus for mapping a CEBus network across a non-CEBus network, while maintaining EIA/IS-60 Data Link Protocol requirements.

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Background of the Invention

In recent years, electronic devices have been developed with the ability to receive and communicate control and status information to other electronic devices, and to various controller devices. For example, electric meters in residential homes may now be commanded from a controller device to transmit the electric usage status of the home to the controller device. In another example, a controller device may command an air conditioner in a home to power on, or off, in an attempt to balance the power load in a residential subdivision.

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CEBus ("Consumer Electronics Bus") is a communications standard that was developed by the Electronics Industry Association's ("EIA") Consumer Group for use by such residential electrical (i.e., "consumer") devices. This standard specifies how devices are to send and receive information, the media available to them for communication purposes, and the format for the information the devices communicate to each other. In particular, the CEBus standard permits devices made by various manufacturers to be able to communicate with each other in a residential setting. The standard is documented in the CEBus EIA/IS-60 specification, which is fully incorporated herein by reference.

30

35

Thus far, networks based on the CEBus standard have been geared for "local access" control and surveillance. In particular, a specified subset of messages transmitted within a CEBus network by a controller device, or any other CEBus network device, require a CEBus "Ack" (i.e., acknowledge) message to be transmitted in response, and to be received by the device transmitting the original message within 600  $\mu$ secs of the transmission of that original message. Due to this stringent timing requirement, controller devices for CEBus networks have necessarily been required to be located locally, i.e., in the general vicinity of the controlled device(s), which has thus far prevented the effective extension of the CEBus standard protocol to allow for "remote access" control. The situation is further complicated if a remote controller device for exercising control of one or more devices on a CEBus network itself communicates via a non-CEBus network.

It has been proposed that remote access to CEBus automatic networks can be implemented with the use of modem links over conventional copper wire pairs. However, field trials have shown this approach to be both costly and unreliable.

Thus, it would be advantageous to provide apparatus and methods for reliable and cost efficient remote access to a CEBus network. It would be a further advantage to provide apparatus and methods for reliably mapping a CEBus network on a non-CEBus network, while still maintaining the EIA/IS-60 timing requirements.

### Summary of the Invention

The present invention provides apparatus and methods for employing a communication protocol proxy that enables a CEBus network to be mapped across a non-CEBus network, thereby allowing a remotely located node (e.g., a controller) to communicate via a non-CEBus network with electronic devices connected to the CEBus

network, while still conforming with the EIA/IS-60 protocol requirements.

In a preferred embodiment, a proxy node is provided on a CEBus network, wherein the proxy node is also  
5 connected to a non-CEBus network (e.g., a wide area network ("WAN")). A remotely located controller on the non-CEBus network may communicate with a device on the CEBus network by transmitting messages to the proxy node over the non-CEBus network. The proxy node then  
10 forwards the message to the respective CEBus device over the CEBus network. If the message requires a CEBus Ack message response, the CEBus device transmits a CEBus Ack message to the proxy node, so that it is received within the time interval specified by the CEBus standard. On  
15 receiving the CEBus Ack message, the proxy node transmits a non-CEBus standard acknowledge message to the controller, which can be received over an extended time interval. If the message does not require a CEBus Ack message response, the proxy node still transmits a  
20 non-CEBus standard acknowledge message to the controller in order to verify receipt of the message.

For message transmissions from the CEBus device to the controller, the CEBus device transmits a message to the proxy node on the CEBus network. The proxy node  
25 then transmits the message to the controller, over the non-CEBus network. If the message requires a CEBus Ack message response, the proxy node itself transmits the CEBus Ack message to the CEBus device. Whether or not the message requires a CEBus Ack message response, the  
30 controller, upon receiving the message from the proxy node, transmits a non-CEBus standard acknowledge message to the proxy node.

Thus, a general object of the invention is to support communications between a remote access  
35 controller on a non-CEBus network and one or more devices on a CEBus network. Other and further objects, features, aspects and advantages of the present

invention will become better understood with the following detailed description of the accompanying drawings.

#### Brief Description of the Drawings

5       The drawings illustrate both the design and utility of preferred embodiments of the present invention, in which:

Figure 1 is a diagram illustrating the mapping of a plurality of CEBus residential networks across a non-CEBus wide area network ("WAN"), via a plurality of  
10       respective proxy nodes;

Figure 2 is a diagram of preferred message transmission protocol sequences for link protocol data unit ("LPDU") transmissions from a remotely located  
15       utility host master node ("UHMN") on a non-CEBus network to a utility-managed settable node ("UMSN") on a CEBus network;

Figure 3 is a diagram of preferred message transmission protocol sequences for LPDU transmissions  
20       from a UMSN on a CEBus network to a UHMN on a non-CEBus network; and

Figure 4 is a diagram of a preferred network termination data link layer acknowledge/ negative acknowledge ("NT DLL Ack/Nack") message format.

#### 25       Detailed Description of the Drawings

Referring to Figure 1, a plurality of CEBus standard residential networks 130 each connect various respective devices located in one or more respective residences 120. In particular, a residential home 120  
30       may contain one or more utility-managed settable nodes ("UMSN"s) 125 managed by a utility company in energy-management applications, including, but not limited to, gas and electrical appliances. More particularly, a UMSN 125 is a "smart" consumer device, also referred to  
35       as a "CEBus device" or a "CEBus node," that communicates

with other similar devices via CEBus standard-defined messages and protocols. A plurality of UMSNs 125 that can communicate either directly across a shared physical medium, or indirectly via a plurality of physical media, collectively comprise a respective CEBus network 130. A CEBus network 130 may contain CEBus devices 125 from a plurality of residential homes 120. The same CEBus network may also contain other, non-UMSN CEBus devices 145.

Each CEBus network 130 has a physical layer, which consists of the information signal transmitted within the respective CEBus network 130. Each CEBus network 130 also has a data link layer ("DLL"), which provides the means for establishing and maintaining individual data links on the respective CEBus network 130. The CEBus network 130 DLL also provides for the transfer of information over a physical link, or links, connecting the respective CEBus nodes 125, 135 and 145, including the requisite synchronization, error control and flow control.

Each residential home 120 containing one or more UMSNs 125 is also provided with a network terminal ("NT") device 135 located proximate to, or placed in, the respective residential home 120, wherein the NT 135 is linked to the respective CEBus network 130 connecting the UMSNs 125 of that home 120. In particular, the NTs 135 are UMSNs, and are also special application CEBus devices which enable the CEBus networks 130 to be mapped to external, non-CEBus networks. In a presently preferred embodiment, each NT 135 also delivers analog distributive services and digital interactive services to the respective residences 120, which are received over a two-way hybrid fiber/coax distribution ("HFC") network 140, and are provided by the NT 135 over a mix of service interfaces (not shown) to its respective residence 120, i.e., for various computing,

telecommunications and entertainment equipment (also not shown).

An exemplary preferred NT (i.e., "network interface") device is shown and described in U.S. Patent Application Serial No. 08/608,436, filed February 28, 1996, entitled, "Subscriber Network Interface And Method," which is also fully incorporated herein by reference. The disclosed network interface supports the two-way transport of multiple communication services, including at least RF analog and RF carrier modulated ATM cells, over a single coaxial distribution cable. ATM cell-mux circuitry in the network interface provides for de-multiplexing and routing of incoming ATM cells, and for collecting and multiplexing of outgoing ATM cells, respectively, wherein the incoming and outgoing ATM cells are routed to and from a plurality of "ATM" subscriber service modules within the subscriber interface.

More particularly, the respective subscriber service modules each support individual services such as telecommunications, set-top telemetry, or baseband digital data services, such as, e.g., ethernet or a dedicated PC modem data line. Each service module "disassembles" the respective incoming cells routed to it by the ATM cell-mux, converting (or "adapting") the data contained therein into an appropriate service protocol for delivery through a subscriber-side I/O port associated with the respective service module. The protocol conversion may include, for example, circuit emulation for providing a synchronous digital data stream, depending on the respective service. Conversely, information in upstream signals received through a subscriber-side I/O port is assembled into sequential cells by the respective service module and delivered to the ATM cell-mux. In this manner, the ATM transmission of combined services over the network side



is advantageously transparent at the subscriber-side I/O ports of the subscriber interface.

Although that disclosure makes no specific reference to having a CEBus interface as one of the implemented subscriber service modules, or otherwise being a utility managed node, it will be apparent to those skilled in the art from both that disclosure and the present disclosure that the described network interface may be adapted for these purposes, as well.

As will be apparent to those skilled in the art, the hybrid fiber coax distribution network 140 may take several alternate physical forms. By way of example, "downstream" ATM traffic transmitted by the switch 160 and intended for one or more NTs 135 may initially be multiplexed for transport over a shared high speed optical fiber (not shown), then de-multiplexed for local distribution over a shared coaxial cable (also not shown). In alternate preferred embodiments, a pure optical or coaxial network may be equally employed.

By way of specific examples of ATM-based broadband access distribution networks, a preferred system architecture and data transmission protocol for an ATM-based point-to-multipoint optical network is disclosed and described in U.S. Patent Application Serial No. [not-yet-assigned, Lyon & Lyon Docket No. 220/095], filed April 3, 1997, entitled "Data Transmission Over a Point-to-Multipoint Optical Network." Likewise, a preferred system architecture and data transmission protocol for an ATM-based point-to-multipoint broadband access network employing a shared coaxial medium is disclosed and described in U.S. Patent Application Serial No. 08/772,088, filed December 19, 1996, entitled "Network Architecture for Broadband Data Communication Over a Shared Medium." Both of these applications are fully incorporated herein by reference.

Each NT 135 has a CEBus network interface 155 for the transmission and receipt of messages between the NT

135 and one or more UMSNs 125 and/or other CEBus devices 145 on the CEBus network 130. Each NT 135 also has a non-CEBus network interface 185, for the transmission and receipt of data over the HFC 140. In particular, 5 each NT 135 transmits and receives data over the HFC 140 to and from a packet switch 160, e.g., an asynchronous transfer mode ("ATM") switch, located at an access network termination ("ANT") facility 150. The packet switch 160 also communicates via a data link 165 with an 10 energy management terminal ("EMT") 170 located at the ANT 150, which is configured to send and receive messages to and from a remotely located utility host master node ("UHMN") 180 via a wide area network ("WAN") 175. In a presently preferred embodiment, the UHMN 180 is a 15 central management entity, responsible for monitoring and controlling UMSNs 125 located in several residences 120; i.e., via the plurality of CEBus networks 130.

In accordance with the EIA/IS-60 standard, respective UMSNs 125 communicate via CEBus standard 20 packets, or messages, also called "link protocol data units" ("LPDU"s). In particular, an LPDU is a packet of information of a maximum length of 41 bytes (also referred to as "octets"), which includes a header field, a destination device address field, a destination house 25 code field, a source device address field, a source house code field, and a "common application language" ("CAL") statement field. The CAL statement field of an LPDU comprises the command or status information transmitted via the LPDU.

30 An LPDU may require a respective CEBus Ack (acknowledge) message to be transmitted by the receiving device back to the device which transmitted the LPDU. The CEBus Ack message format is defined in the EIA/IS-60 specification. In particular, the CEBus EIA/IS-60 35 protocol requires that the CEBus Ack message be received

by the originally transmitting device within 600  $\mu$ secs of the transmission of the LPDU requiring the response.

In accordance with a general aspect of the invention, the UHMN 180 may remotely control one or more UMSNs 125 by transmitting and receiving LPDUs over the respective WAN 175 and HFC 140, to the respective NTs 135, which act as proxy nodes for the UMSNs 125. More particularly, an LPDU transmitted from the UHMN 180, and addressed for a specified UMSN 125, is received by the respective NT 135 of the residence 120 containing that UMSN 125. The NT 135 then transmits the LPDU to the identified UMSN 125. If the LPDU requires a CEBus Ack message response, the recipient UMSN 125 transmits a CEBus Ack message back to the respective NT 135 so that the CEBus Ack message is received by the NT 135 within the 600  $\mu$ sec time interval specified by the EIA/IS-60 standard; i.e., based on when the LPDU was transmitted by the NT 135. Upon receiving the CEBus Ack message from the UMSN 125, the NT 135 transmits a non-CEBus standard NT DLL Ack message to the UHMN 180 over the non-CEBus network (i.e., the HFC network 140/WAN 175). If, however, the LPDU does not require a CEBus Ack message response, the respective NT 135 still transmits a non-CEBus standard NT DLL Ack message to the UHMN 180, but does so immediately upon receipt of the LPDU from the UHMN 180.

If an LPDU for a UMSN 125 requires a CEBus Ack message response, but the respective NT 135 does not receive the expected CEBus Ack message from the UMSN 125 within 600  $\mu$ secs of transmitting the LPDU to the UMSN 125, the NT 135 initiates a retry sequence, whereby it re-transmits the LPDU to the UMSN 125. The NT 135 repeats this retry sequence a (CEBus standard) maximum of  $N \times 8$  times, where  $N$  is in the range 0-15, or until the UMSN 125 responds to an LPDU re-transmission with a timely CEBus Ack message. In a presently preferred

embodiment, N is set to a value of 3. If the respective NT 135 does receive a CEBus Ack message from the UMSN 125 in response to a re-transmission of the LPDU to the UMSN 125, it transmits a non-CEBus standard NT DLL Ack message back to the UHMN 180. If the respective NT 135 does not receive a CEBus Ack message from the recipient UMSN 125 in response to either the original or any of the re-transmissions of the LPDU, it transmits a non-CEBus standard NT DLL Nack message to the UHMN 180. A preferred NT DLL Ack/Nack message format is described in greater detail below, in conjunction with Figure 4.

Notably, an LPDU may be transmitted from the UHMN 180 for more than one UMSN 125. In this case, if the LPDU requires a CEBus Ack message response, each recipient UMSN 125 for whom the LPDU is sent transmits a CEBus Ack message to its respective NT 135. On receiving a first UMSN 125 CEBus Ack message, the respective NT 135 transmits an NT DLL Ack message to the UHMN 180 and discards any further received CEBus Ack messages corresponding to the respective LPDU. If the UHMN 180 is concerned with a response being received from a particular UMSN 125, the UHMN 180 should send an LPDU requiring a CEBus Ack message response addressed to that UMSN 125.

The UHMN 180 may also transmit an LPDU addressed to a specified NT 135 itself. The UHMN 180 may also set a specified field in the LPDU to indicate that the NT 135 should respond to the LPDU with a CEBus Ack message. In this case, the recipient NT 135 first responds to receipt of the LPDU message by transmitting an NT DLL Ack message to the UHMN 180. The NT 135 then transmits a CEBus Ack message to the UHMN 180. If an NT 135 receives an LPDU addressed for it from the UHMN 180 and the LPDU does not indicate that the NT 135 should transmit a CEBus Ack message response, the NT 135 still transmits an NT DLL Ack message to the UHMN 180 upon receipt of the LPDU.

In accordance with a general aspect of the present invention, the UMSNs 125 may also generate messages to be transmitted to the UHMN 180. More particularly, an LPDU transmitted from a UMSN 125 for the UHMN 180 is received by the respective NT 135 of the residence 120 containing the UMSN 125. The NT 135 then transmits the LPDU to the UHMN 180 over the respective HFC 140 and WAN 175. If the LPDU requires a CEBus Ack message response, the recipient NT 135 transmits a CEBus Ack message back to the respective UMSN 125, so that the CEBus Ack message is received by the UMSN 125 within the 600  $\mu$ sec time interval specified by the EIA/IS-60 standard; i.e., based on when the LPDU was transmitted by the UMSN 125.

If an LPDU for the UHMN 180 requires a CEBus Ack message response, but the respective UMSN 125 does not receive the expected CEBus Ack message from the respective NT 135 within 600  $\mu$ secs of transmitting the LPDU, the UMSN 125 initiates a retry sequence, whereby it re-transmits the LPDU to the UHMN 180. The UMSN 125 repeats this retry sequence a (CEBus standard) maximum of  $N \times 8$  times, where  $N$  is in the range 0-15, or until the respective NT 135 responds to an LPDU re-transmission with a timely CEBus Ack message. In a presently preferred embodiment,  $N$  is set to a value of 3.

Whether or not the LPDU requires a CEBus Ack message response, the UHMN 180, upon receiving the LPDU from the NT 135, transmits a non-CEBus standard NT DLL Ack message to the NT 135.

Figure 2 depicts preferred message transmission protocol sequences for LPDUs transmitted from a remotely located UHMN 15 over a non-CEBus network 18, via an NT proxy node 16, to a respective UMSN 17 on a CEBus network 19.

In a first exemplary scenario 10, an LPDU 11 of the type requiring a CEBus Ack message response is

transmitted from the UHMN 15 and is received by the NT 16. The NT 16 then transmits the LPDU 11 to the specified UMSN 17. The UMSN 17 transmits a responsive CEBus Ack message 12 to the NT 16, which is received by  
5 the NT 16 within 600  $\mu$ secs of when it transmitted the LPDU 11 to the UMSN 17. Upon receiving the CEBus Ack message 12, the NT 16 transmits an NT DLL Ack message 13 to the UHMN 15, which is preferably received by the UHMN 15 within 5 seconds of when it transmitted the LPDU 11  
10 to the UHMN 17. The message transmission protocol sequence for the respective LPDU 11 is now completed.

In a second exemplary scenario 20, an LPDU 21 of the type requiring a CEBus Ack message response is transmitted from the UHMN 15 and is received by the NT  
15 16. The NT 16 then transmits the LPDU 21 to the specified UMSN 17. In scenario 20, however, the NT 16 does not receive a CEBus Ack message response from the UMSN 17 within 600  $\mu$ secs of when it transmits the LPDU 21. Thus, the NT 16 executes one or more retry  
20 attempts, i.e., with each retry attempt consisting of re-transmitting the LPDU 21 to the UMSN 17. The NT 16 remains in a "retry CEBus transmission state" until it finally receives the CEBus Ack message 22 within 600  $\mu$ secs of re-transmitting the LPDU 21 to the UMSN 17.  
25 Only then does the NT 16 transmit an NT DLL Ack message 23 to the UHMN 15, which should still receive the NT DLL Ack message 23 within 5 seconds of originally transmitting the LPDU 21. The message transmission protocol sequence for the respective LPDU 21 is now  
30 completed.

In a third exemplary scenario 30, an LPDU 31 of the type which does not require a CEBus Ack message response is transmitted from the UHMN 15 and is received by the NT 16. The NT 16 then transmits the LPDU 31 to the  
35 specified UMSN 17. Upon receipt of the LPDU 31, the NT 16 also transmits an NT DLL Ack message 32 back to the

UHMN 15, which receives the NT DLL Ack message 32 within 5 seconds of transmitting the LPDU 31. The message transmission protocol sequence for the respective LPDU 31 is now completed.

5        In a fourth exemplary scenario 40, an LPDU 41 of the type requiring a CEBus Ack message response is transmitted from the UHMN 15 and is received by the NT 16. The NT 16 then transmits the LPDU 41 to the specified UMSN 17. In scenario 40, however, the NT 16  
10 does not receive a CEBus Ack message from the UMSN 17 within 600  $\mu$ secs of transmitting the LPDU 41 to the UMSN 17. The NT 16 then re-transmits the LPDU 41 to the UMSN 17 (up to) twenty-four times, but still does not receive a CEBus Ack message from the UMSN 17 within 600  $\mu$ secs of  
15 re-transmitting the LPDU 41. After the last (e.g., "twenty-fourth") re-transmission of the LPDU 41, the NT 16 transmits an NT DLL Nack message 42 to the UHMN 15, which is received by the UHMN 15 within 5 seconds of when it originally transmitted the LPDU 41. The message  
20 transmission protocol for the respective LPDU 41 is now completed. In an alternate embodiment, the UHMN 15 may continue to re-transmit the LPDU 41 to the NT 16, based on the message type, message priority, and/or system requirements, attempting to have the LPDU 41 be  
25 successfully received and responded to by the UMSN 17.

      In a fifth exemplary scenario 50, an LPDU 51 of the type requiring a CEBus Ack message response is transmitted from the UHMN 15 and is received by the NT 16. In scenario 50, the UHMN 15 does not receive an NT  
30 DLL Ack message or an NT DLL Nack message from the NT 16 within 5 seconds of transmitting the LPDU 51. The UHMN 15 then re-transmits the LPDU 51 one more time, but still does not receive an NT DLL Ack message or an NT DLL Nack message from the NT 16 within 5 seconds. The  
35 message transmission protocol sequence for the respective LPDU 51 is now completed. In an alternate

embodiment, the UHMN 15 may continue to re-transmit the LPDU 51 to the NT 16, based on the message type, message priority, and/or system requirements, attempting to have the LPDU 51 be successfully received by the UMSN 17.

5        Figure 3 depicts preferred message transmission protocol sequences for LPDUs transmitted from a UMSN 57 on a CEBus network 59 to a remote UHMN 55, located on a non-CEBus network 58, via an NT proxy node 56.

10        In a first exemplary scenario 60, an LPDU 61 of the type requiring a CEBus Ack message response is transmitted by the UMSN 57 and received by the NT 56. The NT 56 then transmits the LPDU 61 to the UHMN 55. Upon receiving the LPDU 61, the NT 56 also transmits a responsive CEBus Ack message 62 to the UMSN 57, which is  
15        received by the UMSN 57 within 600  $\mu$ secs of when it transmitted the LPDU 61. Upon receiving the LPDU 61, the UHMN 55 transmits an NT DLL Ack message 63 to the NT 56, which the NT 56 receives within five seconds of transmitting the LPDU 61. The message transmission  
20        protocol sequence for the respective LPDU 61 is now completed.

      In a second exemplary scenario 70, an LPDU 71 of the type that does not require a CEBus Ack message response is transmitted by the UMSN 57 and received by  
25        the NT 56. The NT 56 then transmits the LPDU 71 to the UHMN 55. Upon receiving the LPDU 71, the UHMN 55 transmits an NT DLL Ack message 72 to the NT 56, which the NT 56 receives within five seconds of transmitting the LPDU 71. The message transmission protocol sequence  
30        for the respective LPDU 71 is now completed.

      In a third exemplary scenario 80, an LPDU 81 of the type requiring a CEBus Ack message response is transmitted by the UMSN 57 and received by the NT 56. The NT 56 then transmits the LPDU 81 to the UHMN 55.  
35        The NT 56 also transmits a responsive CEBus Ack message 82 back to the UMSN 57 upon receiving the LPDU 81, which



the UMSN 57 receives within 600  $\mu$ secs of transmitting the LPDU 81. In scenario 80, however, the NT 56 does not receive an NT DLL Ack message from the UHMN 55 within 5 seconds of transmitting the LPDU 81 to the UHMN 55. The NT 56 then re-transmits the LPDU 81 to the UHMN 55, and receives an NT DLL Ack message 83 from the UHMN 55 within five seconds of its second transmission of the LPDU 81. The message transmission protocol sequence for the respective LPDU 81 is now completed.

10 In an fourth exemplary scenario 90, an LPDU 91 of the type requiring a CEBus Ack message response is transmitted by the UMSN 57 and is received by the NT 56. The NT 56 then transmits the LPDU 91 to the UHMN 55. The NT 56 also transmits a responsive CEBus Ack message  
15 92 back to the UMSN 57 upon receiving the LPDU 91, which the UMSN 57 receives within 600 usec of transmitting the LPDU 91. In scenario 90, however, the NT 56 does not receive an NT DLL Ack message from the UHMN 55 within five seconds of transmitting the LPDU 91 to the UHMN 55.  
20 The NT 56 then re-transmits the LPDU 91 to the UHMN 55, but still does not receive an NT DLL Ack message from the UHMN 55 within five seconds. The message transmission protocol sequence for the respective LPDU 91 is now completed. In an alternate embodiment, the NT  
25 56 may continue to re-transmit the LPDU 91 to the UHMN 55, based on the message type, message priority, and/or system requirements, attempting to have the LPDU 91 be successfully received and responded to by the UHMN 55.

In a fifth exemplary scenario 100, an LPDU 101 of  
30 the type that does not require a CEBus Ack message response is transmitted by the UMSN 57 and is received by the NT 56. The NT 56 then transmits the LPDU 101 to the UHMN 55. In scenario 100, however, the NT 56 does not receive an NT DLL Ack message from the UHMN 55  
35 within five seconds of transmitting the LPDU 101 to the UHMN 55. The NT 56 then re-transmits the LPDU 101 to the UHMN 55, but still does not receive an NT DLL Ack

message from the UHMN 55 within five seconds. The message transmission protocol sequence for the respective LPDU 101 is now completed. In an alternate embodiment, the NT 56 may continue to re-transmit the  
5 LPDU 101 to the UHMN 55, based on the message type, message priority, and/or system requirements, attempting to have the LPDU 101 be successfully received and responded to by the UHMN 55.

In a presently preferred embodiment, a UHMN, each  
10 NT, and each UMSN has a processor and associated memory (not shown), for executing the instructions necessary to perform the above-described protocol and exemplary protocol sequences.

Figure 4 depicts a preferred format for a non-CEBus  
15 standard NT DLL Ack/Nack message 400 for use in a network configuration of Figure 1. Each byte, or octet, of the NT DLL Ack/Nack message 400 is 8 bits. A first, and most significant, byte of the NT DLL Ack/Nack message consists of a control byte 401. In a presently  
20 preferred embodiment, the value of control byte 401 is set to 0xFF hex.

The next two bytes of the NT DLL Ack/Nack message 400 consist of an NT device address field 402. An NT device address is a unique address assigned to each NT  
25 135. In particular, the NT device address field 402 of an NT DLL Ack/Nack message carries the address of the NT 135 to whom, or from whom, the NT DLL Ack/Nack message is transmitted.

The next two bytes of an NT DLL Ack/Nack message  
30 400 consist of an NT "house code" field 403. Several CEBus nodes, including NTs 135, which are interconnected through the same physical medium, or even interconnected through multiple physical media, may comprise a group that is assigned a unique house code. In particular,  
35 the NT house code field 403 of an NT DLL Ack/Nack message 400 comprises a code assigned to a group in

which the NT 135 receiving or transmitting the respective NT DLL Ack/Nack message 400 is a part of.

The next two bytes of an NT DLL Ack/Nack message 400 consist of a destination device address field 404.

5 The destination device address field 404 is set to the address of a specified UMSN 125, (or more generically, a CEBus device) to which the original LPDU was transmitted, i.e., the original LPDU being the initiation of the message protocol sequence resulting in  
10 the respective NT DLL Ack/Nack message 400. If the original LPDU was transmitted to more than one CEBus device, the destination device address field 404 of the respective NT DLL Ack/Nack message 400 is set to a broadcast device address that the CEBus devices for whom  
15 the LPDU was transmitted share in common.

The next byte of the NT DLL Ack/Nack message 400 consists of an ack/nack code field 405, which is used to identify whether the message is an NT DLL "Ack" message or an NT DLL "Nack" message. In a presently preferred  
20 embodiment, the value of field 405 is set to 0x00 hex to indicate the message is an NT DLL Ack message, and to 0x01 hex to indicate the message is an NT DLL Nack message.

In a presently preferred embodiment, the next three  
25 bytes of an NT DLL Ack/Nack message 400 are a reserved field 406, the bits of this field 406 reserved for future use.

The final, and least significant byte of the NT DLL Ack/Nack message 400 consists of a Frame Check Sequence  
30 ("FCS") field 407. An FCS is an encoded value appended to each message to allow detection of transmission errors in the physical channel. In a presently preferred embodiment, the FCS field 407 carries an 8-bit checksum value.

35 While embodiments and applications of preferred apparatus and methods for employing a communication protocol proxy that enables a CEBus network to be mapped

across a non-CEBus network have been shown and described, as would be apparent to those skilled in the art, many modifications and applications are possible without departing from the inventive concepts herein.

5       As a non-limiting example, a UHMN may be implemented as any device that is situated on a non-CEBus network. As a further non-limiting example, a non-CEBus network may also be a local area network ("LAN") or a point-to-point network. As a still further  
10 non-limiting example, a UMSN may be any CEBus device that a remote controller wishes to access.

Thus, the scope of the disclosed inventions is not to be restricted except in the spirit of the appended claims.

Claims

1. A method for transmitting messages from a first node located on a non-CEBus network to a device located on a CEBus network via a proxy node, comprising  
5 the steps of:

transmitting a message from the first node to the proxy node over the non-CEBus network; and

transmitting the message from the proxy node to the device over the CEBus network.

10

2. The method of claim 1, the message of a type requiring a standard CEBus acknowledge ("Ack") message response be transmitted by the receiving entity and received by the transmitting entity within a first  
15 specified time interval from when the transmitting entity sends the message, comprising the further step of:

transmitting a responsive CEBus Ack message from the device to the proxy node over the CEBus network,  
20 such that the proxy node receives the CEBus Ack message within the first specified interval.

3. The method of claim 2, comprising the further step of:

25 transmitting a non-standard acknowledge message from the proxy node to the first node over the non-CEBus network upon receiving the CEBus Ack message, such that the first node receives the non-standard acknowledge message within a second specified time interval from  
30 when it first sent the message.

4. The method of claim 1, the message of a type not requiring a standard CEBus acknowledge ("Ack") message response, comprising the further step of:

35 transmitting a non-standard acknowledge message from the proxy node to the first node over the non-CEBus

network upon receiving the message, such that the first node receives the non-standard acknowledge message within a specified time interval from when it first sent the message.

5

5. The method of claim 1, the message of a type requiring a standard CEBus acknowledge ("Ack") message response be transmitted by the receiving entity and received by the transmitting entity within a first specified time interval from when the transmitting entity sends the message, and wherein the CEBus Ack message is not received by the proxy node within the first specified time interval after first sending the message, comprising the further step of:

15 periodically re-transmitting the message from the proxy node to the device over the CEBus network until such time as a CEBus Ack message is received by the proxy node within the first specified time interval after re-transmitting the message, or until a selected  
20 number of re-transmissions have been made, whichever occurring first.

6. The method of claim 5, comprising the further step of:

25 transmitting a non-standard acknowledge message from the proxy node to the first node over the non-CEBus network upon receiving a CEBus Ack message from the device, such that the first node receives the non-standard acknowledge message within a second specified  
30 time interval.

7. The method of claim 5, wherein the proxy node does not receive a CEBus Ack message within the first specified time interval after any re-transmission up to  
35 the selected number, comprising the further step of:

transmitting a non-standard negative-acknowledge ("Nack") message from the proxy node to the first node over the non-CEBus network upon such time as the proxy node fails to receive the CEBus Ack message within the first specified time interval after sending the final re-transmission, such that the first node receives the non-standard Nack message within a second specified time interval.

8. The method of claim 1, if no acknowledgement of the receipt of the message is received by the first node from the proxy node within a specified time interval, comprising the further step of:

re-transmitting the message from the first node to the proxy node over the non-CEBus network.

9. The method of claim 1, wherein the device is a utility managed settable node.

10. The method of claim 1, wherein the first node is a utility host master node.

11. The method of claim 2, wherein the first specified time interval is 600 usec.

12. A method for transmitting messages from a device located on a CEBus network to a first node located on a non-CEBus network via a proxy node, comprising the steps of:

transmitting a message from the device to the proxy node over the CEBus network; and

transmitting the message from the proxy node to the first node over the non-CEBus network.

13. The method of claim 12, comprising the further step of:

transmitting a non-standard acknowledge message from the first node to the proxy node over the non-CEBus network upon receiving the message, such that the proxy node receives the non-standard acknowledge message within a specified time interval from when it first sent the message.

14. The method of claim 12, if no acknowledgement of the receipt of the message is received by the proxy node from the first node within a specified time interval, comprising the further step of:

re-transmitting the message from the proxy node to the first node over the non-CEBus network.

15. The method of claim 12, the message of a type requiring a standard CEBus acknowledge ("Ack") message response be transmitted by the receiving entity and received by the transmitting entity within a first specified time interval from when the transmitting entity sends the message, comprising the further step of:

transmitting a responsive CEBus Ack message from the proxy node to the device over the CEBus network, such that the device receives the CEBus Ack message within the first specified interval.

16. The method of claim 12, the message of a type requiring a standard CEBus acknowledge ("Ack") message response be transmitted by the receiving entity and received by the transmitting entity within a first specified time interval from when the transmitting entity sends the message, and wherein the CEBus Ack message is not received by the device within the first specified time interval after first sending the message, comprising the further step of:



periodically re-transmitting the message from the device to the proxy node over the CEBus network until such time as a CEBus Ack message is received by the device within the first specified time interval after  
5 re-transmitting the message, or until a selected number of re-transmissions have been made, whichever occurring first.

17. The method of claim 12, wherein the device is  
10 a utility managed settable node and the first node is a utility host master node.

18. A method for transmitting messages from a first node located on a non-CEBus network to a device  
15 located on a CEBus network via a proxy node, comprising the steps of:

transmitting a message from the first node to the proxy node over the non-CEBus network, the message of a type requiring a standard CEBus acknowledge ("Ack")  
20 message response be transmitted by the receiving entity;

transmitting the message from the proxy node to the device over the CEBus network;

if a responsive CEBus Ack message is received by the proxy node from the device within a first specified  
25 interval from when it sent the message, transmitting a non-standard acknowledge message from the proxy node to the first node; and

if a responsive CEBus Ack message is not received by the proxy node from the device within a first  
30 specified interval from when it sent the message, periodically re-transmitting the message from the proxy node to the device over the CEBus network until such time as a CEBus Ack message is received by the proxy node within the first specified time interval after re-  
35 transmitting the message, or until a selected number of re-transmissions have been made, whichever occurring first.

19. The method of claim 18, comprising the further step of transmitting a non-standard acknowledge message from the proxy node to the first node over the non-CEBus network upon receiving a CEBus Ack message from the device within the first specified time interval, such that the first node receives the non-standard acknowledge message within a second specified time interval from when it first sent the message.

10

20. The method of claim 18, comprising the further step of transmitting a non-standard negative-acknowledge ("Nack") message from the proxy node to the first node upon the proxy node failing to receive the CEBus Ack message within the first specified time interval after sending the final re-transmission, such that the first node receives the non-standard Nack message within a second specified time interval from when it first sent the message.

15

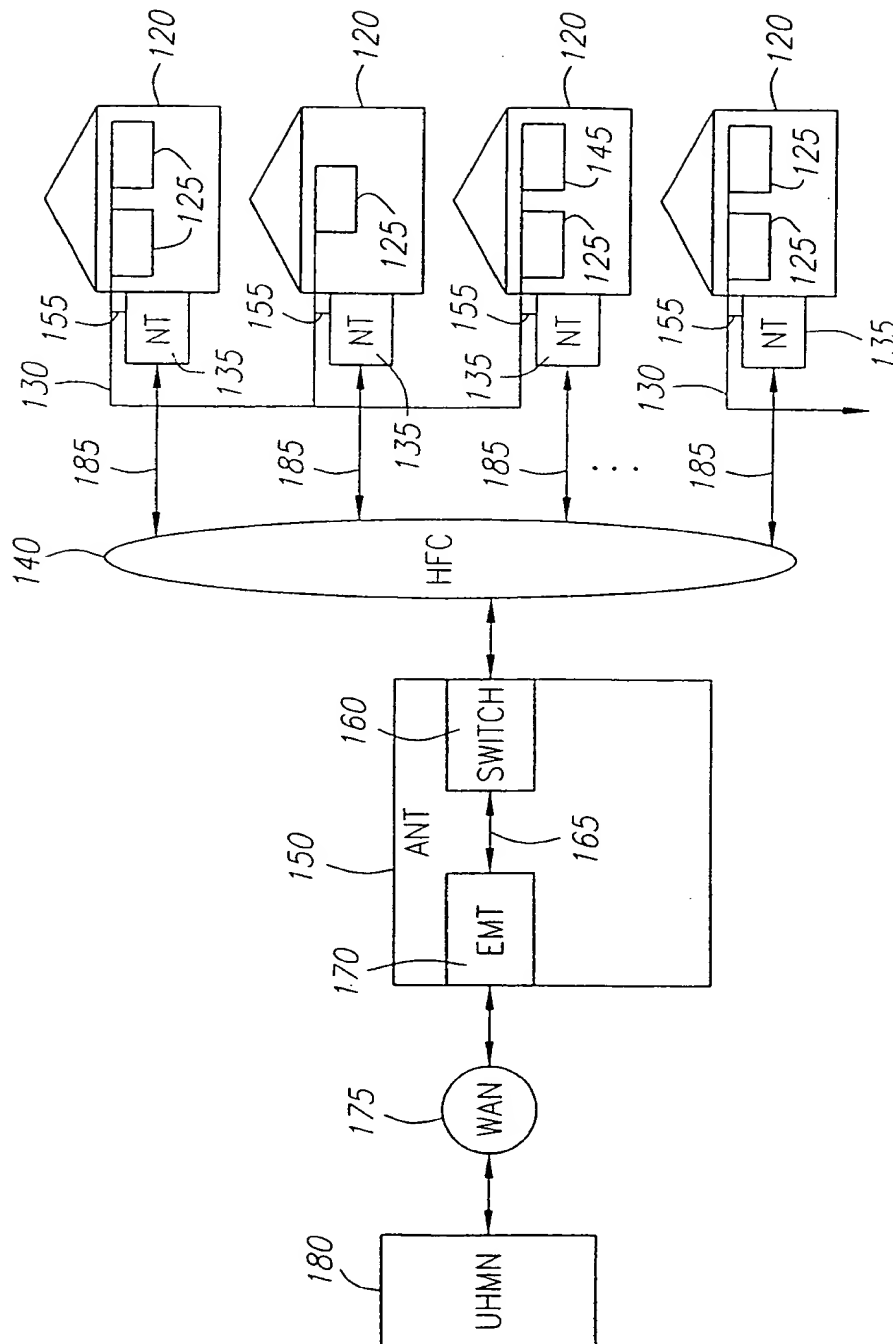


FIG. 1

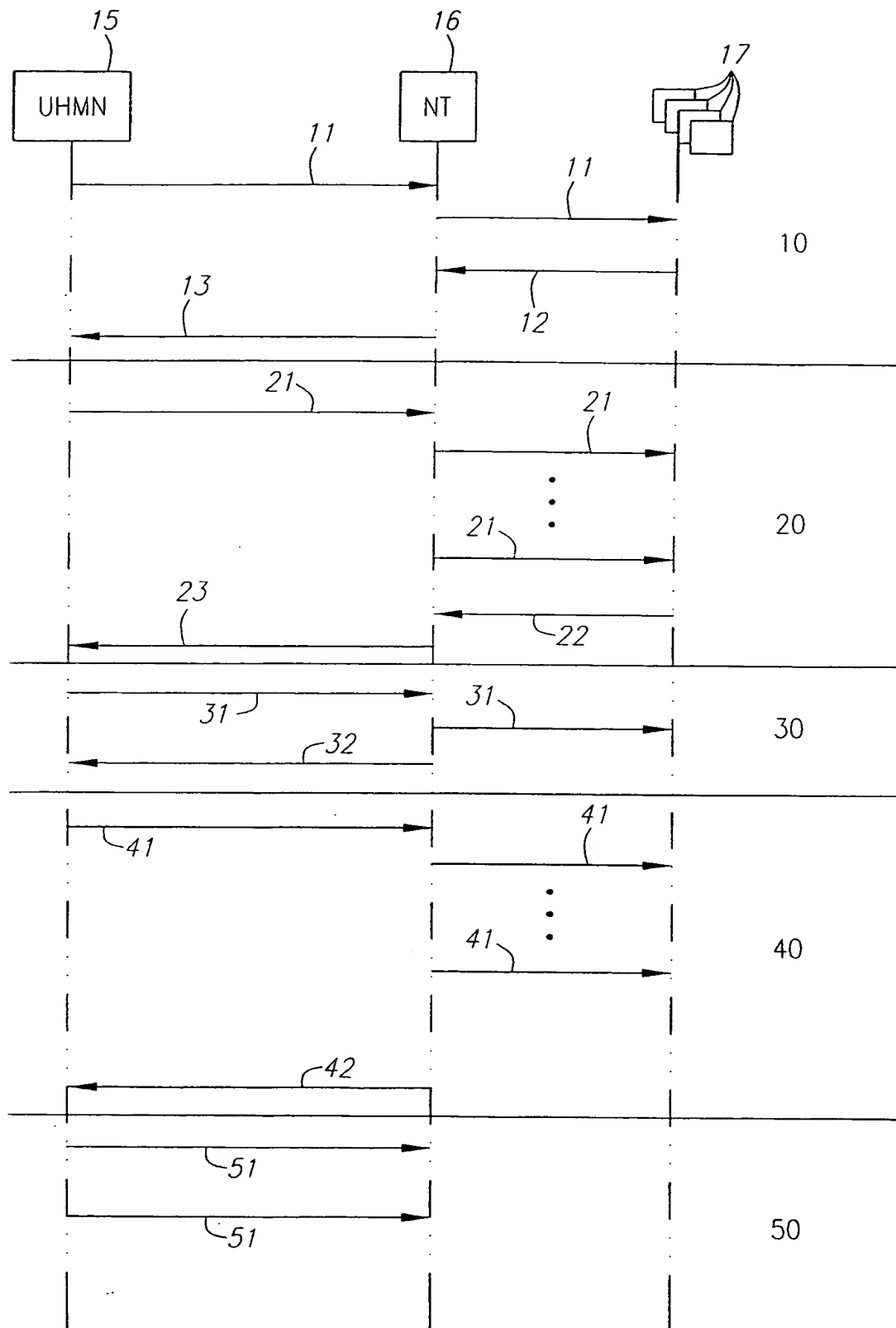


FIG. 2

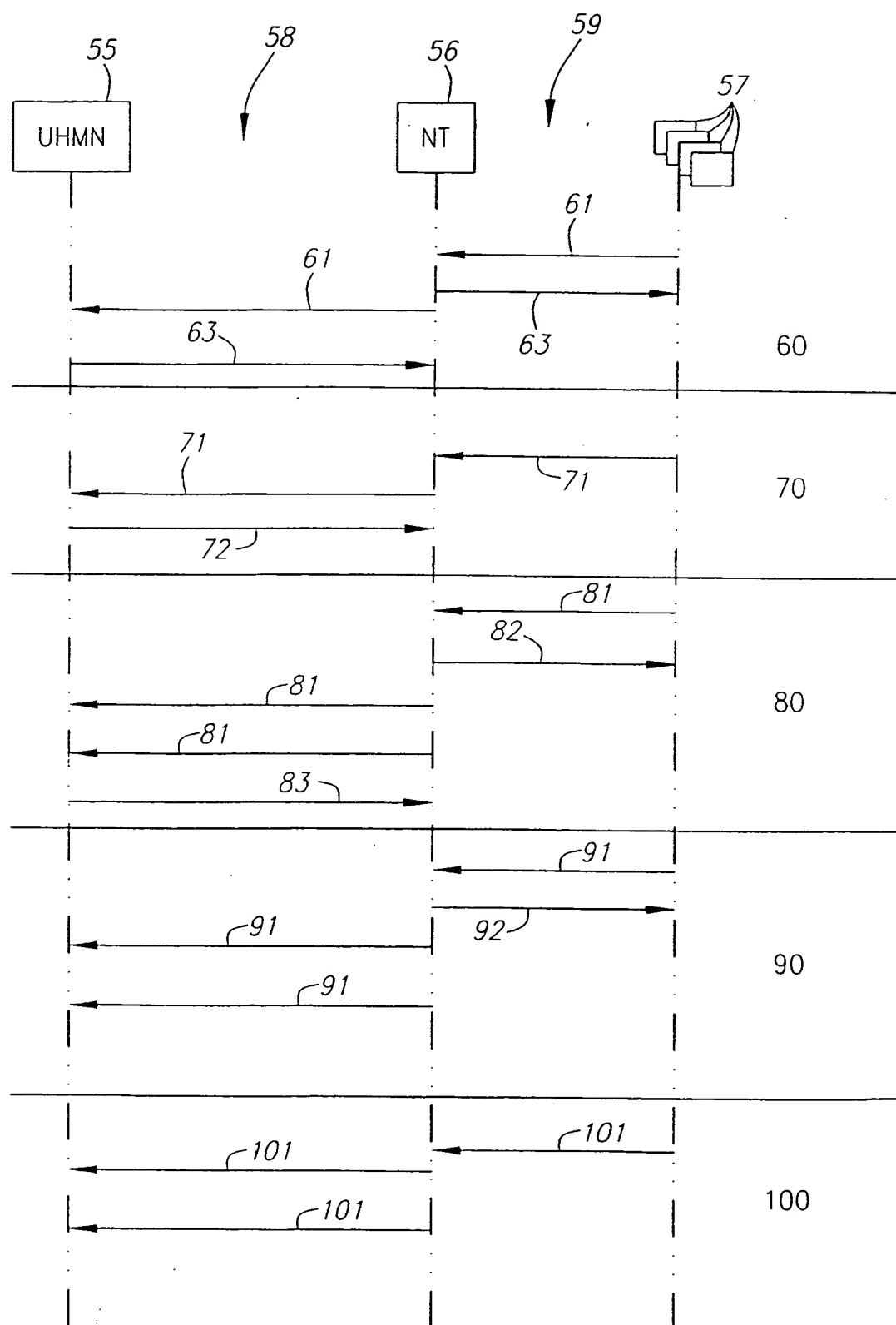


FIG. 3

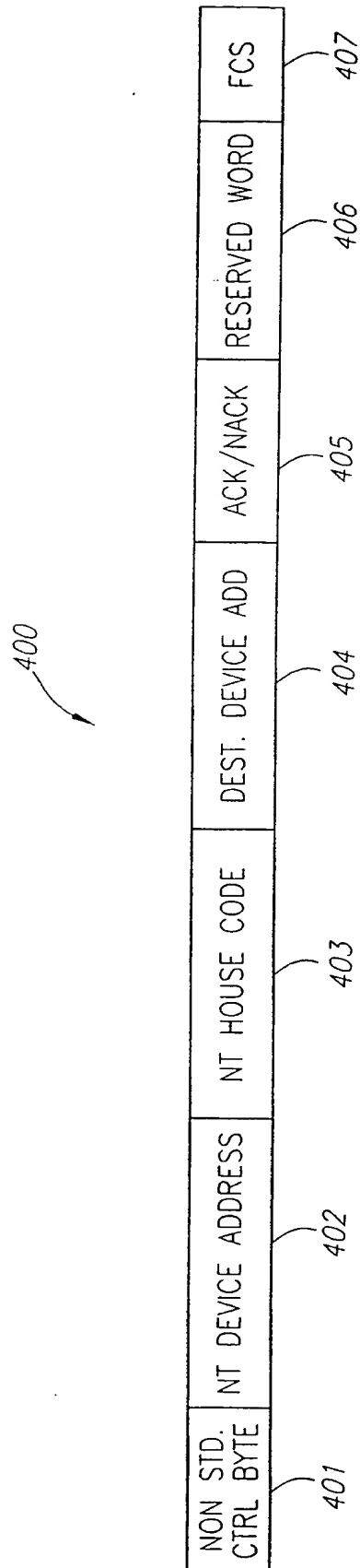


FIG. 4

## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 98/07504

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 H04L12/28 H04L12/46

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 97 09800 A (OPEN SYSTEMS COMMUNICATIONS MA) 13 March 1997	1,9,10, 12,17
Y	see page 10, line 8 - line 20	2,4, 13-15
Y	EP 0 658 026 A (IBM) 14 June 1995	2,4, 13-15
A	see column 7, line 47 - column 9, line 13	3,5-8, 11,16-20
A	HARGADEN P J ET AL: "FUNCTIONS AND OPERATIONS OF CEBUS ROUTERS" IEEE TRANSACTIONS ON CONSUMER ELECTRONICS, vol. 37, no. 2, 1 May 1991, pages 135-143, XP000234470	1,12,18

☐ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

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"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

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# INTERNATIONAL SEARCH REPORT

information on patent family members

International Application No

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